

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

**1. Date of Submission:** 2010-03-18 15:56:52

**2. Agency:** 011

**3. Bureau:** 10

**4. Name of this Investment:** FBI National Crime Information Center (NCIC)

**5. Unique Project (Investment) Identifier:** 011-10-01-03-01-2502-00

**6. What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

**7. What was the first budget year this investment was submitted to OMB? \***

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The National Crime Information Center is an automated database of criminal justice information as reported to the Federal Bureau of Investigation by law enforcement agencies throughout the United States and internationally. It contains information on stolen property, wanted persons, missing persons, violent gangs and terrorists, and other persons of interest to law enforcement. The focus of the development, maintenance, and enhancement efforts is to (1) upgrade the hardware/software to ensure that the system continues to provide quality service and dependability; (2) enhance existing services and develop new services as requested by the law enforcement community; and (3) integrate the National Crime Information Center with other Criminal Justice Information Service System of Systems. The primary purpose of the National Crime Information Center is to electronically exchange criminal justice information with law enforcement and criminal justice agencies for use in the investigation of local, state, tribal, federal, and international crimes and for the protection of citizens from criminal activity. It is a valuable tool that aids law enforcement officers, investigators, judges, prosecutors, correction officers, court administrators, and other law enforcement and criminal justice agency officials in the execution of their day-to-day operations. The National Crime Information Center contains over 10 million active records and processes an average of 6.4 million transactions a day. On July 24, 2009, the National Crime Information Center processed a record-breaking 7.9 million transactions. The National Crime Information Center supports the Federal Bureau of Investigation mission to (1) prevent terrorism and promote the nation's security; (2) prevent crime, enforce federal laws, and represent the rights and interests of the American people; and (3) ensure the fair and efficient operation of the Federal Justice system. This is accomplished by strengthening partnerships with local, state, tribal, federal, and international law enforcement and criminal justice communities and enhancing the Nation's capacity to prevent, solve, and control crime. Privacy Threat Assessments, and, if necessary, Privacy Impact Assessments to be completed for the upgrades that impact personally identifiable information. There are no substantial or material changes to the system contemplated with this effort.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

**9. Did the Agency's Executive/Investment Committee approve this request? \***

a. If "yes," what was the date of this approval? \*

**10. Contact information of Program/Project Manager?**

- Name: \*
- Phone Number: \*
- Email: \*

**11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

**a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

<b>Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES</b> <b>(REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
DJFM9D902300	Cost-Plus-Incentive-Fee	Y	2005-10-01	2005-10-01	2011-09-30	\$9.4	*	*	*	*	*
V797049A3GP070	Firm-Fixed-Price	Y	2005-06-30	2006-10-01	2011-06-30	\$22.7	*	*	*	*	*
DJFJFB109044	Cost-Plus-Award-Fee	Y	2008-11-14	2008-11-14	2013-11-13	\$46.9	*	*	*	*	*
DJFA9G0805110-GS35F0287T	Time-and-Materials	Y	2009-06-20	2009-08-24	2010-09-30	\$0.2	*	*	*	*	*
A0G0805110	Time and Materials	Y	2010-03-22	2010-06-20	2011-06-19	\$0.2	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a. If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2004	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	1,332,489,509	Support an 8.9% increase	1,515,134,229
2004	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	0.0966
2004	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	100%	90% or greater	100%
2004	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Availability	99.5%	99.5% or greater	99.70%
2005	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	1,515,134,229	Support an 8.9% increase	1,639,554,366
2005	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	0.0562
2005	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	100%	90% or greater	100%
2005	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	System Availability	99.5%	99.5% or greater	99.69%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People						
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	1,639,554,366	Support an 8.9% increase	1,801,802,679
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	0.0543 seconds
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	100%	90% or greater	100%
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Availability	99.5%	99.5% or greater	99.69%
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	1,801,802,679	Support an 8.9% increase	2,150,633,455
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	0.066 seconds
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	100%	90% or greater	100%
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	System Availability	99.5%	99.5% or greater	99.76%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People						
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	2,150,633,455	Support an 8.9% increase	2,295,454,966
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	0.0579 seconds
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	100%	90% or greater	100%
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Availability	99.5%	99.5% or greater	99.79%
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	2,295,454,966	Support an 8.9% increase	2,447,738,982
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	0.0610 seconds
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	NA	90% or greater	100%
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	System Availability	99.5%	99.5% or greater	99.81%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People						
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	NA	Support an 8.9% increase	NA
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	NA
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	NA	90% or greater	NA
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Availability	99.5%	99.5% or greater	NA
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	NA	Support an 8.9% increase	NA
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	NA
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	NA	90% or greater	NA
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	System Availability	99.5%	99.5% or greater	NA



Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People						
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	NA	Support an 8.9% increase	NA
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	NA
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	NA	90% or greater	NA
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Availability	99.5%	99.5% or greater	NA
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	NA	Support an 8.9% increase	NA
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds or less	NA
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	NA	90% or greater	NA
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	System Availability	99.5%	99.5% or greater	NA

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People						
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	NA	Support an 8.9% increase	NA
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds	NA
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	NA	90% or greater	NA
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	System Availability	99.5%	99.5% or greater	NA
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Annual System Transactions	NA	Support and 8.9% increase	NA
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Average Response Time	0.5 seconds	0.5 seconds	NA
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Business Continuity	NA	90% or greater	NA
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	System Availability	99.5%	99.5% or greater	NA

Table 1: Performance Information Table							
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People						

## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

#### 1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
O&M FY 2012	*	*	2011-10-01		2012-09-30		0.00%	0.00%
Upgrade NCIC hardware/software - increase CPU and system capacity	\$7.4	\$7.4	2004-01-10	2004-01-10	2004-09-30	2004-09-30	100.00%	100.00%
Common Name Search (CNS) Phase II Vendor Evaluation Study	\$6.6	\$2.2	2008-12-02	2008-12-02	2011-03-02		72.00%	69.00%
System Enhancements	\$0.3	\$0.3	2001-11-18	2001-11-18	2002-09-29	2002-09-30	100.00%	100.00%
O&M FY 2013	*	*	2012-10-01		2013-09-30		0.00%	0.00%
O&M FY 2011	*	*	2010-10-01		2011-09-30		0.00%	0.00%
O&M FY 2007	\$25.4	\$25.4	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Upgrade NCIC hardware/software - mainframe replacement	\$10.7	\$10.7	2004-11-14	2004-11-14	2005-09-30	2005-09-30	100.00%	100.00%
O&M FY 2003	\$15.3	\$15.3	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
O&M FY 2015	*	*	2014-10-01		2015-09-30		0.00%	0.00%
Implement Common Name Search	*	*	2010-11-15		2011-09-30		0.00%	0.00%
O&M FY 2004	\$16.4	\$16.4	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
O&M FY 2001 and prior	\$18.8	\$18.8	1991-10-01	1991-10-01	2001-09-30	2001-09-30	100.00%	100.00%
NCIC Data Sharing	*	*	2010-08-02		2011-09-30		0.00%	0.00%
NCIC Data Sharing project (Concept Phase)	\$0.2	\$0.2	2009-09-21	2009-09-21	2010-08-05		88.00%	88.00%
O&M FY 2008	\$6.7	\$6.7	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Upgrade NCIC hardware/software - NCIC mobility design and development	\$5.6	\$5.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Upgrade NCIC	\$12.5	\$12.5	2005-11-13	2005-11-13	2006-09-30	2006-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
hardware/software - Enhance DR capabilities								
Upgrade NCIC hardware/software - Interim Disaster Recovery	\$4.6	\$4.6	2002-01-12	2002-01-12	2003-09-30	2003-09-30	100.00%	100.00%
System Enhancements	\$205.9	\$205.9	1991-10-01	1991-10-01	2001-09-29	2001-09-30	100.00%	100.00%
O&M FY 2010	\$10.8	\$9.0	2009-10-01	2009-10-01	2010-09-30		97.00%	99.00%
O&M FY 2005	\$18.2	\$18.2	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
O&M FY 2002	\$17.8	\$17.8	2001-10-01	2001-10-01	2002-09-30	2002-09-30	100.00%	100.00%
O&M FY 2014	*	*	2013-10-01		2014-09-30		0.00%	0.00%
O&M FY 2006	\$13.0	\$13.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M FY 2009	\$5.0	\$4.5	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
O&M FY 2016	*	*	2015-10-01		2016-09-30		0.00%	0.00%

\* - Indicates data is redacted.